I Case	-			Reason Closed	3/A Jodies JAA	Medical Strains of Str	S. O. Inauth	Onderwiting in	1865 18d. Other Control of Contro	So John Shirt od Shrish	Strading Nation	Sold Oring 19 23 and Oring 19 19 19 19 19 19 19 19 19 19 19 19 19	The thing of the state of the s	en. 1800rds arrus	23/2/303- John St. 185.	of 2500 protices	title Reportation in the Report Repor	inacy 529 standards 529 quades in	
58103	1/20/10	1/19/11	364	Unjustified								X							Allegation of fraud
58152	2/4/10	3/16/11	405	ecases 2812 and 2813											X				Closed a real estate transaction with a copy of a Power of Attorney instead of the original
				Combined with															Misappropration of funds; failing to return funds for failed
58216	3/1/10	9/23/11	571	58257								X							transaction
58257	3/16/10	10/12/11	575	e-case								Х							Allegation of lender fraud
58482	6/9/10	3/11/11	275	ecases 2812															
	8/23/10	3/29/11	218	and 2813															Responsible for actions of licensee Failure to file Annual Reports
58652 58722	9/20/10	4/7/11	199	e-case Duplicate															Violation of closing instructions
58746	9/27/10	1/31/11	126	Satisfaction															Unlicensed title agncy doing business in Utah
58767	10/5/10	6/22/11	260	PLOA															Title agency co-habitation with client
58772	10/7/10	7/28/11	294	Unjustified															Closing instructions not followed by escrow off.
58968	12/14/10	2/1/11	49	e-case				Х											Alleged Unlicensed Activity
58971	12/14/10	5/2/11	139	e-case 2875				Х											Alleged Unlicensed Activity
58978	12/15/10	1/24/11	40	e-case				Х											Alleged Unlicensed Activity
58979	12/14/10	1/3/11	20	no Business Activity				Х											Alleged Unlicensed Activity
58981	12/15/10	1/3/11	19	no Business Activity				Х											Alleged Unlicensed Activity
58983	12/20/10	2/16/11	58	e-case				Х											Alleged Unlicensed Activity
58994	3/28/11	4/20/11	23	satisfied pmt															Non-Pymnt of forfieture
58999	12/20/10	5/12/11	143	Unjustified							Х								Co-Advertising
59000	12/20/10	5/12/11	143	Unjustified							Х								Co-Advertising
59001	12/20/10	5/12/11	143	Unjustified							X								Co-Advertising
59049	1/1/11	1/5/11	4	Appt fixed															Late renewal/lost appt
59095	1/13/11	4/18/11	95	e-case 2841					X										Alleged Unlicensed Activity
59097	1/13/11	2/16/11	34	no Business Activity					Х										Alleged Unlicensed Activity
59098	1/13/11	2/16/11	34	no Business Activity					Х										Alleged Unlicensed Activity
59099		2/15/11	33	Unjustified					X										Alleged Unlicensed Activity
59109	1/18/11	2/16/11	29	e-case															Doing Business with an Unlicensed Producer
59137	1/25/11	6/2/11	128	Unjustified							V								Prelim issued w/ incorrect info//Court order conflict
59165	2/1/11	5/12/11	100	Unjustified					V		X								Co-Advertising
59195 59218	2/7/11 2/16/11	11/3/11 3/28/11	269 40	e-case Unfounded					X										Alleged Unlicensed Activity Alleged Mortage Fraud
59218	2/10/11	3/28/11	39	Unfounded															Alleged Short Sale Fraud
59226	2/22/11	3/21/11	27	PLA				Х											Alleged Unlicensed Activity
59227	2/22/11	3/2/11	8	PLA				X											Alleged Unlicensed Activity
59228	2/22/11	3/21/11	27	No closings				Х											Alleged Unlicensed Activity
59229	2/22/11	3/8/11	14	PLA				Х											Alleged Unlicensed Activity
59230	2/22/11	3/7/11	13	No closings conducted				Х											Alleged Unlicensed Activity
59231	2/22/11	4/5/11	42	e-case 2833					Х										Alleged Unlicensed Activity
59232	2/22/11	3/7/11	13	No closings conducted				Х											Alleged Unlicensed Activity
59234	2/23/11	3/21/11	26	open house not conducted								Х				Х			Unfair Marketing Practices
59270	3/7/11	3/8/11	1	PLA				Х											Alleged Unlicensed Activity
59368	3/28/11	5/5/11	38	Unjustified															Creating appearance of marketable title
59370	3/28/11	4/18/11	21	e-case 2842															Failure to Disclose Fed Criminal Prosecution
59371	3/28/11	8/10/11	135	Unjustified												Х			Creating unmarketable title
59372	3/28/11	4/7/11	10	Unjustified								Х							Fiduciary Failure
59373	3/28/11	4/5/11	8	Unjustified								Х							Failure to return Earnest Monies
59375	3/28/11	7/28/11	122	No longer licensed												Х			Failure to disperse as per Hud-1
59376	3/28/11	7/28/11	122	Insufficient information												Х			Failure to disperse as per Hud-1

										<u> </u>	1			iivestigatioi					
59378	3/28/11	1/26/12	304	PrLOA															Failure to issue policy
59379	3/28/11	3/27/12	365	e-case 3113					Х	Х									Inconsistent App, Prior revocation
59380	3/28/11	9/7/11	163	Insufficient Info	+										Х				Controlled Business
59385	3/29/11	4/6/11	<u> </u>	e-case 2834	+				Х										Alleged Unlicensed Activity
			0																
59394	3/31/11	5/2/11	32	e-case 2874					Х										Alleged Unlicensed Activity
59411	4/5/11	8/1/11	118	PLOA					Х										Misstatement on application
59414	4/5/11	7/28/11	114	PLOA					X										Alleged Unlicensed Activity
59439	4/12/11	4/12/11	0	Unjustified															Property taxes not pd at settlement
59441	4/12/11	4/20/11	8	Unjustified															Alleged disclosure of documents
59442	4/13/11	5/2/11	19	No Violation															Alleged Unlicensed Activity
59443	4/13/11	5/2/11	19	No Violation	+														Alleged Unlicensed Activity
-					+														
59444	4/13/11	5/2/11	19	No Violation	+		-												Alleged Unlicensed Activity
59445	4/13/11	4/19/11	6	No Violation															Alleged Unlicensed Activity
59446	4/13/11	4/18/11	5	No Violation															Alleged Unlicensed Activity
59447	4/13/11	5/2/11	19	No Violation															Alleged Unlicensed Activity
59454	4/14/11	4/20/11	6	Duplicate															Non-payment of assessment
59455	4/18/11	4/19/11	1	No Violation															Co-advertising
59459	4/18/11	4/20/11	2	e-case 2844	+														Non-payment of assessment
59469	4/19/11	4/20/11	1	e-case 2848	+														Non-payment of assessment
		-	1		+														
59472	4/19/11	4/20/11	1	e-case 2849	+														Non-payment of assessment
59475	4/19/11	4/20/11	1	e-case 2850															Non-payment of assessment
59484	4/20/11	4/27/11	7	e-case 2852															Non-payment of assessment
59485	4/20/11	4/27/11	7	e-case 2853															Non-payment of assessment
59486	4/20/11	4/20/11	0	e-case 2854															Non-payment of assessment
59487	4/20/11	4/27/11	7	e-case 2855															Non-payment of assessment
59488	4/20/11	4/27/11	7	e-case 2856	+ + +														Non-payment of assessment
		4/27/11	7	e-case 2857	+ + +														Non-payment of assessment
59489	4/20/11				+ + +														
59490	4/20/11	4/27/11	/	e-case 2858	+ + + + + + + + + + + + + + + + + + + +														Non-payment of assessment
59492	4/20/11	4/27/11	7	e-cace 2859															Non-payment of assessment
59519	5/3/11	5/10/11	7	PriLOA															Alleged Unlicensed Activity
59520	5/3/11	5/23/11	20	No Business															Allogod Unlicopped Activity
00020	0/0/11	0/20/11		Conducted															Alleged Unlicensed Activity
59521	5/3/11	5/17/11	14	No Business Conducted															Alleged Unlicensed Activity
	= 10 14 4	0/0/44		No Business															
59522	5/3/11	6/8/11	36	Conducted															Alleged Unlicensed Activity
59523	5/3/11	6/23/11	51	Private Letter				Χ											Alleged Unlicensed Activity
59524	5/3/11	6/8/11	36	No Business															
				Conducted															Alleged Unlicensed Activity
59650	6/8/11	10/17/11	131	Audit														X	Using unfiled rates
59752	7/12/11	8/1/11	20	No Business					Χ										Alleged Unlicensed Activity
				Conducted					V/										
59753	7/12/11	8/1/11	20	PrLOA					Х										Alleged Unlicensed Activity
59754	7/12/11	8/1/11	20	PrLOA					Х										Alleged Unlicensed Activity
59756	7/12/11	8/18/11	37	No Business					,										Alleged Unlicensed Activity
59863		9/13/11	28	Conducted PrLOA	+ +	+		Χ	^										Alleged Unlicensed Activity Alleged Unlicensed Activity
					+ +														
59864	8/16/11	10/5/11	50	No Business	+			X											Alleged Unlicensed Activity
59866		9/13/11	28	No Business				Х											Alleged Unlicensed Activity
59868	8/16/11	9/13/11	28	No Business				Χ											Alleged Unlicensed Activity
59869	8/16/11	9/13/11	28	No Business	<u> </u>			Χ	T										Alleged Unlicensed Activity
59870		9/19/11	34	No Business				Х											Alleged Unlicensed Activity
59872		9/13/11	28	PrLOA	+ +			Х											Alleged Unlicensed Activity
59903	8/23/11	12/8/11	107	No Jurisdiction	, 	+		,,		 			 				 		Allegation of forged signatures
			4.4			+ +	+	V					+						
59977		9/23/11	11	ecase 3010	+ +			Х											Alleged Unlicensed Activity
59978	9/13/11	10/4/11	21	website corrected								Χ							Unfair Marketing
59980	9/13/11	10/4/11	21	PrLOA	+ +	+ +		Х											Alleged Unlicensed Activity
59980	9/13/11	11/12/11	60	No Business	+ +			X											Alleged Unlicensed Activity Alleged Unlicensed Activity
-		-			+								-						
59982	9/13/11	10/5/11	22	No Business	+			Х											Alleged Unlicensed Activity
59983	9/13/11	10/4/11	21	No Business	+			Х											Alleged Unlicensed Activity
59984	9/13/11	12/6/11	84	No Business				Χ											Alleged Unlicensed Activity
59985	9/13/11	9/19/11	6	No Business				Χ								\bot			Alleged Unlicensed Activity
										<u>.</u>		L						 	<u> </u>

										Ciosedi	ແນຂວແຕ	gation Suim	iiai y N	eport			
59996 9/14	1/11 9	9/15/11	1	No Proof										Х			Allegation of fraudulant Activity
60031 9/24		1/29/11	66	Unjustified										Х			Allegation of fraudulant Activity
60038 9/26	5/11	9/27/11	1	Claim not made against agency/co										×			Allegation of fraudulant Activity
60092 10/4	1/11	12/6/11	63	No Business			Χ										Alleged Unlicensed Activity
60094 10/4	1/11 1	1/22/11	49	PrLOA			Χ										Alleged Unlicensed Activity
60097 10/4	1/11 1	1/12/11	39	No Business			Χ										Alleged Unlicensed Activity
60098 10/4	1/11 1	1/12/11	39	No Business			Χ										Alleged Unlicensed Activity
60099 10/4	1/11 ′	12/6/11	63	No Business			Χ										Alleged Unlicensed Activity
60100 10/4	1/11 1	1/12/11	39	No Business			Χ										Alleged Unlicensed Activity
60101 10/4	1/11	12/6/11	63	No Business			Χ										Alleged Unlicensed Activity
60102 10/4	1/11 1	1/12/11	39	No Business			Χ										Alleged Unlicensed Activity
60103 10/4	1/11 1	1/12/11	39	Birthday Cor. Only			Χ										Alleged Unlicensed Activity
60104 10/4	1/11 ′	12/6/11	63	No Business			Χ										Alleged Unlicensed Activity
60106 10/4	1/11 ′	12/6/11	63	No Business			Χ										Alleged Unlicensed Activity
60129 10/11	1/11 1	1/15/11	35	e-case			Χ										Alleged Unlicensed Activity
60142 10/12	2/11	3/27/12	167	e-case 3115				x		x						X	Alleg: skimming, forgery, charging fees for services not rendered, intentional RESPA violations
60215 11/2	2/11	1/11/12	70	Corrected docs	3										Х		Alleged Unfair Marketing
60216 11/2	2/11	1/11/12	70	Corrected docs	3										Х		Alleged Unfair Marketing
60254 11/14	4/11 <i>^</i>	12/6/11	22	New line of authority			Х										Alleged Unlicensed Activity
60255 11/14	4/11	12/6/11	22	New line of authority			Χ										Alleged Unlicensed Activity
60269 11/16	6/11	1/11/12	56	Corrected docs	3				Χ						X		Advertising violations
60277 11/14	4/11 (6/26/12	225	Unjustified						Х							Alleged Release of Funds w/o Agreement
60329 12/6	S/11 ′	12/6/11	0	Unjustified													Alleged no brick and mortar
60335 12/6	6/11 2	2/14/12	70	No Business Activity			Χ										Alleged Unlicensed Activity
60336 12/6		1/11/12	36	No Business			Χ										Alleged Unlicensed Activity
60337 12/6		2/6/12	62	Priv LOA			Χ										Alleged Unlicensed Activity
60338 12/6		1/11/12	36	No Business			Χ										Alleged Unlicensed Activity
60339 12/6		1/11/12	36	No Business			Χ										Alleged Unlicensed Activity
60340 12/6		1/11/12	36	No Business			Χ										Alleged Unlicensed Activity
60341 12/6		4/9/12	125	ecase 3118			Χ										Alleged Unlicensed Activity
60407 1/5/		1/9/12	4	No Violation					Χ								Alleged Inducement
60419 1/10)/12	2/6/12	27	In error			Х										Alleged Unlicensed Activity
60420 1/10)/12 2	2/14/12	35	No Business Activity			X										Alleged Unlicensed Activity
60421 1/10)/12 2	2/14/12	35	No Business Activity			X										Alleged Unlicensed Activity
60422 1/10)/12 2	2/14/12	35	No Business Activity			X										Alleged Unlicensed Activity
60423 1/10		2/14/12	35	No Business Activity			X										Alleged Unlicensed Activity
60424 1/10)/12	2/6/12	27	In Error			Х										Alleged Unlicensed Activity

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					0.00	J	ivestigation Sumin	iary respons			
60425 1/10/12 2/14/12	35	No Business Activity	X								Alleged Unlicensed Activity
60426 1/10/12 1/30/12	20	Private LOA	X								Failure to issue policy
60427 1/10/12 2/14/12	35	No Business	Х								Alleged Unlicensed Activity
		Activity	X								
60429 1/10/12 3/1/12 60430 1/11/12 4/9/12	89	No concerns ecase 3119	X								Not responding to inquiries Alleged Unlicensed Activity
60453 1/19/12 2/1/12	13	e-case 2849	Y								Alleged Unlicensed Activity Alleged Unlicensed Activity
60454 1/20/12 2/28/12	39	opened ecase	Y Y								Alleged Unlicensed Activity Alleged Unlicensed Activity
60479 1/30/12 4/2/12	63	e-case 3116	X								Alleged Unlicensed Activity Alleged Unlicensed Activity
	50	No Business									
60486 2/1/12 3/22/12	50	Activity No Puninger	X								Alleged Unlicensed Activity
60487 2/1/12 3/22/12	50	No Business Activity	X								Alleged Unlicensed Activity
60488 2/1/12 3/22/12	50	No Business Activity	Х								Alleged Unlicensed Activity
60489 2/1/12 3/22/12	50	In Error	X								Alleged Unlicensed Activity
60490 2/1/12 3/22/12	50	In Error	X								Alleged Unlicensed Activity
60491 2/1/12 3/22/12	50	No Business Activity	x								Alleged Unlicensed Activity
60492 2/1/12 5/8/12	97	E-case	Х								Alleged Unlicensed Activity
60509 2/7/12 3/22/12	44	Corrected		X							Unfair Marketing
60510 2/7/12 3/22/12	44	Corrected		X							Unfair Marketing
60511 2/7/12 3/22/12	44	Corrected		X							Unfair Marketing
60512 2/7/12 3/22/12	44	Corrected		Х							Unfair Marketing
60513 2/7/12 5/8/12	91	Opened in Error		Х					Х		Co-Advertising with a client
60514 2/7/12 3/22/12	44	Corrected		X							Unfair Mktg and Inducements
60515 2/7/12 3/22/12	44	Corrected		X							Unfair Mktg and Inducements
60552 2/14/12 6/6/12	113	e-case 3116)	Alleged using unfiled rates
60561 2/16/12 4/18/12	62	No Action									
	444	needed		X	X						Charging Excessive Fees
60565 2/16/12 6/6/12	111	No evidence			^						Split Closing without Title Ins Alleged Unlicensed Activity
60679 3/27/12 5/8/12 60680 3/27/12 5/8/12	42	Prv LOA									
	42	Prv LOA No Activity	^ <u> </u>								Alleged Unlicensed Activity Alleged Unlicensed Activity
60681 3/27/12 5/8/12 60682 3/27/12 5/8/12	42	Prv LOA	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \								Alleged Unlicensed Activity Alleged Unlicensed Activity
60683 3/27/12 5/8/12	42	No Activity	\ \ \ \ \ \ \								Alleged Unlicensed Activity Alleged Unlicensed Activity
60684 3/27/12 5/8/12	42	Prv LOA	Y								Alleged Unlicensed Activity Alleged Unlicensed Activity
	42	No Action	^								
60685 3/27/12 5/8/12	42	Needed	X								Alleged Unlicensed Activity
60686 3/27/12 5/8/12	42	New License	X								Alleged Unlicensed Activity
60687 3/27/12 5/8/12	42	Prv LOA	X								Alleged Unlicensed Activity
60688 3/27/12 5/8/12	42	No Action Needed	x								Alleged Unlicensed Activity
60689 3/27/12 6/18/12	83	Rec'd S&O with	Х								Alleged Unlicensed Activity
60690 3/27/12 5/8/12	42	No Activity	X								Alleged Unlicensed Activity
60716 4/3/12 6/5/12	63	Unjustified						Х			Docs at Closing not provided
60795 4/26/12 5/2/12	6	E-case									Failed to pay assessment/failed to respond to
			+ -				X				Commissioner
60796 4/26/12 5/2/12	6	E-Case					l x				Failed to pay assessment/failed to respond to Commissioner
							^				Failed to pay assessment/failed to respond to
60798 4/26/12 5/2/12	6	E-Case					l x				Commissioner
			+ +								Failed to pay assessment/failed to respond to
60799 4/26/12 5/2/12	6	E-Case					Х				Commissioner
60802 4/26/12 5/2/12	6	E-Case					Х				Commissioner
60804 4/26/12 5/2/12	6	E-Case					X				Failed to pay assessment/failed to respond to
							X				Commissioner

Second S						 	Closed	investigation Sumi	nary iteport	 	
Column C	60805 4/26/12 5/2/12	6	E-Case								Failed to pay assessment/failed to respond to
Control Cont					1 1						
March Marc	60806 4/26/12 5/2/12	6	E-Case					X			Commissioner
Mary		83			X						
Control Cont	60826 5/8/12 6/12/12	35	Prv LOA		X						Alleged Unlicensed Activity
Alleged Uncomment Activity	60827 5/8/12 7/18/12	71	e-case		X						Alleged Unlicensed Activity
Sept	60828 5/8/12 6/7/12	30			Х						Alleged Unlicensed Activity
March Marc	60829 5/8/12 6/12/12	35	Prv LOA		X						
Marrier Marr		35	No Activity		Х						
Manual M			· ·		X						
Secondary Seco			•		X						
April Apri					X						
Section 1987 1988 1989											7 thogod Ormoonida / tolivity
Separate Separate	60836 5/8/12 6/12/12	35	No Activity		X						
March Marc					X						
Market M					X						
Sept		30			X						Alleged Unlicensed Activity
Market Safet Saf	60841 5/8/12 6/12/12	35	Prv LOA		X						Alleged Unlicensed Activity
Second S	60842 5/8/12 6/12/12	35	No Activity		X						Alleged Unlicensed Activity
Second	60849 5/9/12 6/29/12	51	No Jurisdiction								Clouded Title
1	60893 5/21/12 6/18/12	28	Rec'd \$ & S&O		Х						Alleged Unlicensed Activity
10 12 12 13 12 13 14 14 15 15 15 15 15 15	60955 6/12/12 7/3/12	21	No Business		Х						Alleged Unlicensed Activity
Section Sect	60956 6/12/12 7/3/12	21	No Business		х						Alleged Unlicensed Activity
Second S	60957 6/12/12 7/11/12	29	No Business		х						Alleged Unlicensed Activity
6988 6987 7017 7017 7017 7017 7017 7017 7017 70	60958 6/12/12 7/11/12	29	No Business		х						
60900 61212 7/312 21 No Business	60959 6/12/12 7/3/12	21	No Business		X						
6086 61/21/2 7/31/2 21 No Business	60960 6/12/12 8/13/12	62	No Business		X						
6096 6/12/12 7/3/12 21 No Business					X						
6096 6/12/12 7/3/12 21 No Business	60962 6/12/12 7/3/12	21	No Business		Х						, in the second
60964 6/12/12 7/3/12 21 PrivLOA	60963 6/12/12 7/3/12	21	No Business		Х						
6095 6/12/12 7/3/12 21 PrivLOA	60964 6/12/12 7/3/12	21	PrivLOA		X						
6074 6/13/12 7/30/12 47 Vol Surrender of License 1					X						
61045 7/3/12 7/31/12 28 Pri LOA		47		X							No physical address in Utah, no active app with UT
6116 7/17/12 7/31/12 14 Pri LOA X X X X X X X X X X X X X X X X X X X	61045 7/3/12 7/31/12	28			X					+ +	
61063 7/10/12 8/13/12 34 No Business					х						,
61064 7/10/12 8/13/12 34 No Business X Alleged Unlicensed Activity 61065 7/10/12 8/13/12 34 No Business X Alleged Unlicensed Activity 61066 7/10/12 8/13/12 34 No Business X Alleged Unlicensed Activity 61060 7/10/12 8/13/12 34 No Business X Alleged Unlicensed Activity 61060 7/10/12 8/13/12 34 No Business X Alleged Unlicensed Activity	61063 7/10/12 8/13/12	34	No Business		х						,
61065 7/10/12 8/13/12 34 No Business X Alleged Unlicensed Activity 61066 7/10/12 8/13/12 34 No Business X Alleged Unlicensed Activity Alleged Unlicensed Activity Alleged Unlicensed Activity	61064 7/10/12 8/13/12	34	No Business		x						
Alleged Officerised Activity	61065 7/10/12 8/13/12	34	No Business		Х						
61067 7/10/12 8/13/12 34 No Business X Alleged Unlicensed Activity	61066 7/10/12 8/13/12	34	No Business		X						Alleged Unlicensed Activity
	61067 7/10/12 8/13/12	34	No Business		X						Alleged Unlicensed Activity

						 						Olosca	IIIVCStigatio	n Summary r	ССРОП	 	
61117	7/17/12	8/13/12	27	No Business			X										Alleged Unlicensed Activity
61119	7/17/12	8/13/12	27	No Business			X										Alleged Unlicensed Activity
61123	7/17/12	8/13/12	27	No Business			X										Alleged Unlicensed Activity
61255	8/22/12	8/29/12	7	e-case #3213	Х												Failure to respond to an inquiry of the Commissioner
61046	7/3/12	9/5/12	64	PrivLOA			Х										Alleged Unlicensed Activity
61118	7/17/12	9/5/12	50	No Business			Х										Alleged Unlicensed Activity
61120	7/17/12	9/5/12	50	No Business			Х										Alleged Unlicensed Activity
61121	7/17/12	9/5/12	50	No Business			Х										Alleged Unlicensed Activity
61122	7/17/12	9/5/12	50	No Business			Х										Alleged Unlicensed Activity
61193	8/7/12	9/5/12	29	PrivLOA			Χ										Alleged Unlicensed Activity
61195	8/7/12	9/5/12	29	No Business			Х										Alleged Unlicensed Activity
61196	8/7/12	9/5/12	29	No Business			Χ										Alleged Unlicensed Activity
61197	8/7/12	9/5/12	29	PrivLOA			X										Alleged Unlicensed Activity
61192	8/7/12		34	PrivLOA			Х										Alleged Unlicensed Activity
60845	5/9/12		126	ecase								X					Alleged Defalcation
60839	5/8/12		147	PriLOA			Х										Alleged Unlicensed Activity
61194	8/7/12		56	E-case			X										Alleged Unlicensed Activity
61276			34	PriLOA			X										Alleged Unlicensed Activity
61277	8/29/12	10/2/12	34	No Business			X										Alleged Unlicensed Activity
61278	8/29/12		34	PriLOA			X										Alleged Unlicensed Activity
61279	8/29/12		34	No Business			X		-								Alleged Unlicensed Activity
61280	8/29/12	10/2/12	34	No Business			X		-								Alleged Unlicensed Activity
61281	8/29/12		34	PriLOA			X										Alleged Unlicensed Activity
61282	8/29/12		34	PriLOA			X										Alleged Unlicensed Activity
61283	8/29/12		34	No Business			X										Alleged Unlicensed Activity
61284	8/29/12		34	PriLOA			X										Alleged Unlicensed Activity
61285	8/29/12	10/2/12 10/4/12	34	PriLOA	X		X										Alleged Unlicensed Activity
61337 61338	9/20/12 9/20/12	10/4/12	14	E-case 3219 E-case 3220	X												Failure to File Ann Rep., Controlled Business Rep. Failure to File Ann Rep., Controlled Business Rep.
60973	6/13/12		114	Unjustified	^							X					Title Ins not issued timely/ unmarketable title
61252	8/29/12	10/5/12		E-case 2564					X			\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \					Viol. of probation, failure to pay assessment
61319	9/11/12		24	E-case 2304				X									FAILURE TO PAY Judgement within 60 days
61373	10/2/12		35	PriLOA													Alleged Unlicensed Activity
61376	10/2/12		35	PriLOA													Alleged Unlicensed Activity
61374	10/2/12		36	No Business				+ +									Alleged Unlicensed Activity
61375	10/2/12		36	No Business													Alleged Unlicensed Activity
61377	10/2/12		36	No Business													Alleged Unlicensed Activity
61378	10/2/12		36	No Business							+		+ + +		+ +		Alleged Unlicensed Activity
61379	10/2/12		36	No Business							1				†		Alleged Unlicensed Activity
61047	7/3/12	+		e-case 3237			Х				1				†		Alleged Unlicensed Activity
61372			55	e-case 3241			Χ										Alleged Unlicensed Activity
61257			99	Claim issue			Χ										Alleged Unlicensed Activity
61615		11/29/12		No Jurisdiction													Alleged Release of funds without permission
61469	10/23/12	11/30/12	38	Tax Issue						Х	1						Failure to follow lender's closing instructions
61502	11/5/12	12/10/12	35	No Business			Х										Alleged Unlicensed Activity
61504	11/5/12	12/10/12	35	No Business			Χ										Alleged Unlicensed Activity
61505	11/5/12	12/10/12	35	Priv LOA			Χ										Alleged Unlicensed Activity
61506	11/5/12	12/10/12	35	Priv LOA			Χ										Alleged Unlicensed Activity
61507	11/5/12	12/10/12	35	No Business			Χ										Alleged Unlicensed Activity
61508	11/5/12	12/10/12	35	No Business			Χ										Alleged Unlicensed Activity
61509	11/5/12	12/10/12	35	No Business			Χ										Alleged Unlicensed Activity
61511	11/5/12	12/10/12	35	No Business			Χ										Alleged Unlicensed Activity
61512	11/5/12	12/10/12	35	Priv LOA			Χ										Alleged Unlicensed Activity
61513	11/5/12	12/10/12	35	No Business			Χ										Alleged Unlicensed Activity
61514	11/5/12	12/10/12	35	Priv LOA			Χ										Alleged Unlicensed Activity
																 	

## 19 1906 1907 1		•		 ,						9	Carimary Report				
Section Control Cont	61593 11/26/12 12/10/12	14	Corrected ad				X					X			Alleged Co-Advert, Unfair Marketing
Section Control Cont	61369 10/2/12 12/18/12	77	non issue	X											Alleged Unlicensed Activity, non-licensed name
Fig. 1975	61510 11/5/12 1/8/13	64	E-Case 3257	Х											
Fig. 1970	61541 11/13/12 1/8/13	56	No Action				X					Х			Alleged Co-Advert Unfair Marketing
2009 2017 2018 29 15 240-20 3 15 240-20 3 2 240-20 3 2 240-20 3 2 240-20 3 2 240-20 3 2 240-20 3 2 240-20 3 2 240-20 3 2 240-20 3 2 240-20 3 2 240-20 3 2 240-20 3 2 240-20 3 2 240-20 3 2 240-20 3 2 240-20 3 2 2 2 2 2 2 2 2 2				X											
2006 1970															
250. 1975. 267.		+													
Fig. Col.				-											
March Marc															
Best Deck 1973 1974 1975				-											
Manual M				X											
March Marc	61654 12/10/12 1/14/13	35	PriLOA	X											
March Marc	61409 10/9/12 1/31/13	114	Not justified					X							
Find 1995 249 36	61619 11/30/12 1/31/13	62	Not Justified												
CTO CAPACE 2473 72 M. Researce X M	61503 11/5/12 2/4/13	91	No Business	X											Alleged Unlicensed Activity
CTO CAPACE 2473 72 M. Researce X M	61652 12/10/12 2/4/13	56	No Business	Х											
Process Proc	61760 12/10/12 2/4/13	56	No Business	Х											
Margan M		27	No Business	Х											
Margan 1997		27		Х											
SECON SECO		27		X											·
March Marc															Alleged Unlicensed Activity
Exce Month Service				 											
Fig. 1970				, , , , , , , , , , , , , , , , , , ,				X							
1993 2017				V				, , , , , , , , , , , , , , , , , , ,							
State Stat		+		\ \ \ \ \ \											· · · · · ·
1978 1981 1979 1981 1982 1971 1982		+													
1979 1971															
\$1956 \$217-3 \$57-3 \$37 \$37 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$															
E1950 217-3 35-15 32 No Bunness No Karlow No Bunness No Bunne															·
Mailor M	61863 2/1/13 3/5/13	32	No Business	Х											· · · · · · · · · · · · · · · · · · ·
2717 2717	61864 2/1/13 3/5/13	32	No Business	Х											, , , , , , , , , , , , , , , , , , ,
Sept	61865 2/1/13 3/5/13	32	PriLOA	X											Alleged Unlicensed Activity
1966 1971 30473	61866 2/1/13 3/5/13	32	No Business	Х											Alleged Unlicensed Activity
1966 1971 30473	61867 2/1/13 3/5/13	32	No Business	Х											Alleged Unlicensed Activity
24719 35715 32 35 35 35 35 35 35 3	61868 2/1/13 3/5/13	32	No Business	Х											Alleged Unlicensed Activity
Section Sect		32	No Business	X											
21/13 35/13 32 No Business X X X X X X X X X		32	No Business	X											
1987 24/13 35/13 29 Example 24/13 25/13															
Entitle 11/14/12 3/19/13 125 Not unough information Not uno									X					X	·
Section Sect	01077 274713 373713	23	L-Gase						Λ						
Record R	61878 2/4/13 3/5/13	29	Fixed Problem						X						l I.
Ref															
Not enough information Not enough informat	61548 11/14/12 3/19/13	125	,				l x	X	x			x			
Ref. 1/14/12 3/19/13 125 Not enough information Not enough	0.0.0 1.7.1.7.2 0,10,10	.20	information									^			
11/14/12 3/19/13 125 information i															5
Mktg	61540 44/44/42 2/40/42	105	Not enough						_			,			
Review of Business Entity and DBA	61549 11/14/12 3/19/13	125	information				^	^	^			^			
Section Sect			Alroady												
61995 3/5/13 4/1/13 27 No Business	62024 3/7/13 3/22/13	15			Х										
61996 3/5/13 4/1/13 27 No Business X X X X X X X X X	61994 3/5/13 4/1/13	27	No Business	X											
61997 3/5/13 4/1/13 27 No Business	61995 3/5/13 4/1/13	27	No Business	X											Alleged Unlicensed Activity
61997 3/5/13 4/1/13 27 No Business	61996 3/5/13 4/1/13	27	No Business	X											Alleged Unlicensed Activity
61998 3/5/13 4/1/13 27 No Business		27	No Business	Х											Alleged Unlicensed Activity
6201 3/5/13 4/1/13 27 No Business		27		X											
62036 3/12/13 4/25/13 44 No Business						 									
61870 2/1/13 5/7/13 95 E-Case X X S S S S S S S S S S S S S S S S S							+	 					+		
62052 3/18/13 5/7/13 50 E-Case X Alleged Unlicensed Activity 61999 3/5/13 5/20/13 76 PriLOA X Alleged Unlicensed Activity 62000 3/5/13 5/20/13 76 E-Case X Alleged Unlicensed Activity 62002 3/5/13 5/20/13 76 No Business X Alleged Unlicensed Activity					+ +	 	+								
61999 3/5/13 5/20/13 76 PriLOA Alleged Unlicensed Activity 62000 3/5/13 5/20/13 76 E-Case Alleged Unlicensed Activity 62002 3/5/13 5/20/13 76 No Business No Business															
62000 3/5/13 5/20/13 76 E-Case X Alleged Unlicensed Activity 62002 3/5/13 5/20/13 76 No Business X X X X Alleged Unlicensed Activity		+													
62002 3/5/13 5/20/13 76 No Business X Alleged Unlicensed Activity										+ -					
		76													
62003 3/5/13 5/20/13 76 PriLOA X X		76													
	62003 3/5/13 5/20/13	76	PriLOA	X											Alleged Unlicensed Activity

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62004 3/5/13 5/20/13	76	No Business		Х							Alleged Unlicensed Activity
62005 3/5/13 5/20/13	76	No Business		Х							Alleged Unlicensed Activity
62251 5/7/13 5/20/13	13	E-Case		Х							Allowed unlicensed Producer to do closings
62098 4/1/13 6/8/13	68	No Business		Х							Alleged Unlicensed Activity
62099 4/1/13 6/8/13	68	No Business		X							Alleged Unlicensed Activity
62100 4/1/13 6/8/13	68	No Business		Х							Alleged Unlicensed Activity
62101 4/1/13 6/8/13	68	No Business		Х							Alleged Unlicensed Activity
62247 5/7/13 7/8/13	62	Corrected new name issue					X		Х	Х	Failure to file Ann Rep/Contolled Bus Report, Unauth Bus
62248 5/7/13 7/8/13	62	No Discrepancies							Х		Unfair Mktg
62351 6/4/13 7/8/13	34	No Business		X							Alleged Unlicensed Activity
62353 6/4/13 7/8/13	34	No Business		X							Alleged Unlicensed Activity
62354 6/4/13 7/8/13	34	No Business		X							Alleged Unlicensed Activity
62358 6/4/13 7/8/13	34	No Business		X							Alleged Unlicensed Activity
62359 6/4/13 7/8/13	34	No Business		Х							Alleged Unlicensed Activity
62360 6/4/13 7/8/13	34	No Business		Х							Alleged Unlicensed Activity
62361 6/4/13 7/8/13	34	No Business		X							Alleged Unlicensed Activity
62362 6/4/13 7/8/13	34	No Business		Х							Alleged Unlicensed Activity
62363 6/4/13 7/8/13	34	No Business		Х							Alleged Unlicensed Activity
62355 6/4/13 7/27/13	53	No Business		Х							Alleged Unlicensed Activity
62356 6/4/13 7/27/13	53	No Business		X							Alleged Unlicensed Activity
62357 6/4/13 7/27/13	53	No Business		Х							Alleged Unlicensed Activity
											Alleged conducting Escrow without issuing title
61139 7/19/12 7/30/13	376	ecase 3354		X							policy, Using unfiled rates, using unapproved forms, Unfair
											Mktg
62352 6/4/13 8/5/13	62	PriLOA		X							Alleged Unlicensed Activity

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